

# Lieb-Robinson bounds and the generation of correlations and topological quantum order

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The Lieb-Robinson bound states that local Hamiltonian evolution in nonrelativistic quantum mechanical theories gives rise to the notion of an effective light-cone with exponentially decaying tails. We discuss several consequences of this result in the context of quantum information theory. First, we show that the information that leaks out to space-like separated regions is negligible, and that there is a finite speed at which correlations and entanglement can be distributed. Second, we discuss how these ideas can be used to prove lower bounds on the time it takes to convert states without topological quantum order to states with that property. Finally, we show that the rate at which entropy can be created in a block of spins scales like the boundary of that block.

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The principle of causality forms one of the pillars of modern physics. It dictates that there is a finite speed at which information can propagate. Due to the existence of a light-cone, relativistic quantum field theories automatically exhibit that property. The situation is however not so clear in non-relativistic quantum mechanics, where a strict notion of a light cone does not exist. It has indeed been noticed that local operations can in principle be used to send information over arbitrary distances in arbitrary small times [1]. The seminal work of Lieb and Robinson [2] and recent generalizations due to Hastings [3] and Nachtergaele and Sims [4] however show that the situation is not so bad: if evolution is governed by local Hamiltonians, then non-relativistic quantum mechanics gives rise to an effective light cone with exponentially decaying tails. Due to this exponential attenuation, we will show how a quantitative version of causality emerges where the amount of information that can be exchanged is exponentially small within space-time regions not connected by a light-cone.

A related question is how fast correlations can be created between two widely separated regions in space. Note that in this case, the principle of causality does not prohibit the build-up of correlations faster than the speed of light, as correlations as such cannot be used to signal information; this is precisely the argument used to show that the existence of entanglement does not violate causality. Again using the Lieb-Robinson bound, we will show that there is a finite velocity at which correlations can be distributed. This automatically implies that the time it takes to distribute entanglement between two nodes in a spin-network scales as the distance between the nodes, solving an open question raised in [5]. Note that we assume that all classical communication is also described by local Hamiltonian evolution, as otherwise it is possible to distribute entanglement over arbitrary

distances in a single unit of time by making use of the concept of quantum teleportation [6, 7].

Similar techniques can be used to prove lower bounds on the time it takes to create exotic quantum states exhibiting topological quantum order [8, 9] by local Hamiltonian evolution: a time proportional to the size of the system is needed. This is relevant in the light of topological quantum memories and computing, and shows that the procedure described in [10] to create toric code states is essentially optimal. Although such a result is not too surprising on physical grounds, it is certainly nontrivial from the computational complexity point of view as it is notoriously difficult to prove lower bounds on the depth of quantum circuits to achieve specific tasks.

Finally, we will discuss bounds on the generation of entanglement by local Hamiltonian evolution: if a quantum system is subject to a time-dependent Hamiltonian over a finite time, then an effective area law is obtained which states that for large enough blocks, the increase of entropy of that block is at most proportional to its surface. This is again relevant in the context of ground states of spin systems.

Let us start by define the kind of systems and evolution we will consider in this paper. We will consider a spin-network endowed with a metric. For simplicity, let us assume that spins are located at vertices of a graph  $G = (V, E)$  and dynamics is generated by time-dependent Hamiltonian whose terms  $h_{ij}(t)$  only couple nearest-neighbor spins:

$$\mathcal{H}(t) = \sum_{(i,j) \in E} h_{ij}(t)$$

All results derived can however also be shown to hold in the case of fermions or local Hamiltonians with exponentially decaying interactions, as the Lieb-Robinson bounds still apply. Consider two non-overlapping blocks

of spins  $A, B \subset V$ . We would like to know how operations in region  $A$  affect observables in region  $B$  at some later moment of time. The Lieb-Robinson bound makes a statement about the operator norm of the commutator of any operators  $O_A$  and  $O_B$  in regions  $A, B$  taken at different times; it states that

$$\|[O_A(t), O_B(0)]\| \leq c N_{min} \|O_A\| \|O_B\| \exp\left(-\frac{L - v|t|}{\xi}\right),$$

where  $L$  is the distance between  $A$  and  $B$  (the number of edges in the shortest path connecting  $A$  and  $B$ ),  $N_{min} = \min\{|A|, |B|\}$  is the number of vertices in the smallest of  $A$  and  $B$ , while  $c, v, \xi > 0$  are constants [11] depending only upon  $g = \max_{(i,j) \in E} \max_t \|h_{ij}(t)\|$  and maximum vertex degree of the graph (which we assume to be constant) [12].

Let us first check that this indeed bounds the amount of information that can be send from  $A$  to  $B$  through the spin network. Let  $C = V \setminus (A \cup B)$  be the part of the network on which neither  $A$  and  $B$  have access to. Without loss of generality, we can assume that  $A$  encodes her message by applying some unitary transformation  $U_A^k$  on her subsystem where  $k$  is varied depending on the information she wants to send, i.e. a different unitary operation is applied if she wants to send a different message (the most general operation she can implement is a completely positive map which can indeed be implemented by unitary evolution with an extra ancilla which can be included in region  $A$ ). Waiting for time  $t$ , the whole system evolves according to the unitary operation  $U_{ABC}(t)$ . If the global initial state of the system is given by  $\rho_0$ , then we can interpret this procedure as a quantum channel where the input is

$$\rho_{ABC}^k = U_A^k \rho_0 U_A^{k\dagger}$$

and the output

$$\sigma_B^k(t) = \text{Tr}_{AC} \left( U_{ABC}(t) \rho_{ABC}^k U_{ABC}^\dagger(t) \right).$$

Let us show that  $\sigma_B^k(t)$  has a very weak dependence on  $k$ . Indeed, denote  $\sigma_B^0(t)$  the state that  $B$  would obtain if Alice would not have done anything (i.e.  $U_A = \mathbb{1}$ ). Then for any observable  $O_B$  acting on the subsystem  $B$  and associated  $O_B(t) = U_{ABC}^\dagger(t) O_B U_{ABC}(t)$ , we have

$$\begin{aligned} \text{Tr} (O_B (\sigma_B^0(t) - \sigma_B^k(t))) &= \text{Tr} \left( \rho_0 U_A^{k\dagger} [U_A^k, O_B(t)] \right) \\ &\leq \| [U_A^k, O_B(t)] \| \leq \epsilon \| O_B \|, \end{aligned}$$

where  $\epsilon$  is given by the Lieb-Robinson bound:

$$\epsilon = c N_{min} \exp\left(-\frac{L - v|t|}{\xi}\right).$$

Therefore  $\sigma_B^k(t)$  and  $\sigma_B^0(t)$  are  $\epsilon$ -close in the trace norm:

$$\forall k : \|\sigma_B^k(t) - \sigma_B^0(t)\|_1 \leq \epsilon. \quad (1)$$

If the probabilities to implement the unitaries  $U_A^k$  are specified by  $\{p_k\}$ , then the amount of information that is send through this quantum channel is given by the Holevo capacity:

$$C_\chi(t) = S\left(\sum_k p_k \sigma_B^k(t)\right) - \sum_k p_k S(\sigma_B^k(t))$$

where  $S(\cdot)$  is the von-Neumann entropy. Let  $m$  be the Hilbert space dimension of individual spins. Combining equation (1) with the Fannes inequality

$$|S(\eta_B) - S(\sigma_B)| \leq \delta |B| \log m - \delta \log \delta, \quad \delta \equiv \|\eta_B - \sigma_B\|_1$$

valid for any density operators  $\eta_B, \sigma_B$  on the subsystem  $B$ , we can bound the capacity as  $C_\chi(t) \leq 2\epsilon(|B| \log m - \log \epsilon)$ . Fix the time  $t$  and increase the distance  $L$ , such that size of  $B$  grows at most polynomially with  $L$ . Clearly, the capacity  $C_\chi(t)$  decreases exponentially fast with the distance  $L - v|t|$  and is hence negligible for distances  $L \gg v|t|$ . This indeed proves that the amount of information that can be send outside the lightcone is exponentially small.

Let us next show that the amount of correlations that can be created by local Hamiltonian evolution vanishes also exponentially outside an effective lightcone. Assume that we have a state  $|\psi\rangle$  with finite correlation length  $\chi$ , i.e. one in which all connected correlation functions,  $\langle O_A O_B \rangle_c \equiv \langle \psi | O_A(t) O_B(t) | \psi \rangle - \langle \psi | O_A(t) | \psi \rangle \langle \psi | O_B(t) | \psi \rangle$ , decay exponentially:

$$|\langle O_A O_B \rangle_c| \leq \tilde{c} \exp\left(-\frac{L}{\chi}\right),$$

for any regions  $A, B$  with separation  $L$ , and any operators  $O_A, O_B$  normalized such that  $\|O_A\|, \|O_B\| \leq 1$ . The question we ask is the following: how long does it take to create correlations between two regions separated by a distance  $L$  when the evolution is generated by a local Hamiltonian? For this purpose, we need the following ingredient. Consider an operator  $O_A$  over region  $A$  and the corresponding time-evolved operator  $O_A(t)$ . We would like to prove that  $O_A(t)$  can be well approximated by an operator acting on spins *only* in the effective lightcone of  $A$ . Choose an integer  $l$  and let  $S$  denote the set of spins having distance at least  $l$  from  $A$ . Denote

$$O_A^l(t) = \frac{1}{\text{Tr}_S(\mathbb{1}_S)} \text{Tr}_S(O_A(t)) \otimes \mathbb{1}_S$$

Then the Lieb-Robinson bound allows us to prove that

$$\|O_A(t) - O_A^l(t)\| \leq c|A| \exp\left(-\frac{l - v|t|}{\xi}\right), \quad (2)$$

Indeed, let  $U$  be a unitary operator acting on  $S$  and  $\mu(U)$  be the Haar measure for  $U$ . Then we have

$$O_A^l(t) = \int d\mu(U) U O_A(t) U^\dagger$$

and therefore

$$\|O_A(t) - O_A^l(t)\| \leq \int d\mu(U) \| [U, O_A(t)] \|$$

Applying the Lieb-Robinson bound to the commutator  $[U, O_A(t)]$  with  $N_{min}$  replaced by  $|A|$  we arrive at Eq. (2).

We now consider the connected correlation function between  $O_A$  and  $O_B$  at time  $t$ . Taking into account  $\|O_A^l(t)\|, \|O_B^l(t)\| \leq 1$ , we obtain  $|\langle O_A(t) O_B(t) \rangle_c| \leq 2c(|A| + |B|) \exp[-(l - vt)/\xi] + |\langle O_A^l(t) O_B^l(t) \rangle_c| \leq 2c(|A| + |B|) \exp[-(l - vt)/\xi] + \tilde{c} \exp[-(L - 2l)/\chi]$ . Picking the optimal  $l = (\chi vt + \xi L)/(\chi + 2\xi)$ , we find that the connected correlation function at time  $t$  is bounded by  $\tilde{c}(|A| + |B|) \exp[-(L - 2vt)/\chi']$ , where  $\chi' = \chi + 2\xi$ , hence proving that there is indeed a bounded velocity at which correlations can be created.

As an application, let's consider the complexity of creating the GHZ-state [13]  $|\psi_{GHZ}\rangle = |00 \dots 0\rangle + |11 \dots 1\rangle$  out of the ferromagnetic state  $|\psi_{Fer}\rangle = |++ \dots +\rangle$  where all spins point in the  $x$ -direction; the spins are defined on an arbitrary lattice (e.g. square lattice). Because the connected correlations of  $|\psi_{GHZ}\rangle$  do not decay, as opposed to the case of  $|\psi_{Fer}\rangle$ , the above results imply that the time it will take to transform those states into each other by any time-dependent local Hamiltonian evolution scales linearly with the diameter of the system. Typically such lower bounds on quantum circuits are difficult to prove, but in this case the result follows directly from the Lieb-Robinson bounds.

Let's next apply the Lieb-Robinson techniques to a more exotic problem. The concept of topological quantum order (TQO) is exceptional in the sense that it is a property of a quantum state rather than of a Hamiltonian. Loosely speaking, a quantum state  $|\psi_1\rangle$  exhibits TQO if and only if there exists another one orthogonal to it,  $|\psi_2\rangle$ , such that for all local observables  $O_{loc}$  we have  $\langle \psi_1 | O_{loc} | \psi_1 \rangle = \langle \psi_2 | O_{loc} | \psi_2 \rangle$  and  $\langle \psi_1 | O_{loc} | \psi_2 \rangle = 0$ . TQO arises most frequently on systems with a nontrivial topology, such as a torus. States with this property are natural candidates for protecting quantum information from decoherence: decoherence can be thought of as a process in which an external quantum system couples locally to the system of interest, and as such effectively acquires information about the state of the system. However, if the quantum information is stored in a superposition of the two orthogonal states with exactly the same local properties, then there is no way the environment can access that information, and if  $\langle \psi_1 | O_{loc} | \psi_2 \rangle = 0$ , there is no way the environment can correlate itself with it, and as such cannot decohere it.

Formally, we define states  $|\psi_1\rangle, |\psi_2\rangle$  to have TQO with error  $(l, \epsilon)$  if for any observable  $O_{loc}$  with  $\|O_{loc}\| = 1$  supported on a set with diameter  $l$  or less, we have  $|\langle \psi_1 | O_{loc} | \psi_1 \rangle - \langle \psi_2 | O_{loc} | \psi_2 \rangle| \leq 2\epsilon$  and  $|\langle \psi_1 | O_{loc} | \psi_2 \rangle| \leq \epsilon$ . Colloquially, we say that a state is topologically ordered

if it has TQO to accuracy  $(l, \epsilon)$  where  $l$  is of order the linear size of the system, say half the linear size, and  $\epsilon$  is exponentially small in  $l$ , while a state is not topologically ordered if it has topological order only to accuracy  $(l, \epsilon)$  with  $l, \epsilon$  both of order unity.

We now ask the following question: starting from a state  $|\psi_0\rangle$  which has no TQO, is it possible to find lower bounds on the time it would take to create a state  $|\psi_1\rangle$  (or “brother” state  $|\psi_2\rangle$ ) with TQO if we allow for any local time-dependent Hamiltonian evolution for a time  $t$  with associated unitary transformation  $U$ , so that  $|\psi_1\rangle = U|\psi_0\rangle$ ? We now prove by contradiction that using the above colloquial definition of TQO, it requires a time  $t$  of order the linear size of the system to achieve this. Let the final state have TQO to accuracy  $(l_f, \epsilon_f)$ . Consider now the state  $|\tilde{\psi}_0\rangle = U^\dagger |\psi_2\rangle$ . Let  $O_{loc}$  be a norm-1 operator with support on a set of diameter  $l_i$  (here and below the graph  $G$  is a regular lattice in  $\mathbb{R}^d$ ). We have, from Eq. (2),  $|\langle \tilde{\psi}_0 | O_{loc} | \tilde{\psi}_0 \rangle - \langle \psi_0 | O_{loc} | \psi_0 \rangle| = |\langle \psi_2 | U O_{loc} U^\dagger | \psi_2 \rangle - \langle \psi_1 | U O_{loc} U^\dagger | \psi_1 \rangle| \leq O(\epsilon_f + l_i^d \exp[-(l_f - l_i - vt)/\xi])$ , and similar bounds for matrix elements of  $O_{loc}$  between  $|\psi_0\rangle$  and  $|\tilde{\psi}_0\rangle$ . Then, since  $\langle \psi_0 | \tilde{\psi}_0 \rangle = 0$ , we can choose  $l_i = l_f/2$  in the above expression and it follows that the initial state is topologically ordered to accuracy  $(\epsilon_i, l_i)$  with  $\epsilon_i = O(\epsilon_f + l_f^{d-1} \exp[-(l_f/2 - vt)/\xi])$  and  $l_i = l_f/2$ . Using the colloquial definition of TQO, then if  $vt \ll l_f/2$ , it follows that if the final state is topologically ordered, so is the initial state, finishing the proof. Again, the Lieb-Robinson bounds enable us to prove lower bounds on the circuit complexity, in this case for creating states with TQO. This proves, for example, that the strategy outlined in [10] for creating toric code states is essentially optimal.

Up till now, we have been considering the evolution generated by local Hamiltonians, and made quantitative statements about the speed at which correlations can be build up. In a similar vein, we can quantify how much entanglement or entropy can be generated by such an evolution. In particular, we are interested in the amount of entanglement that can be created per unit of time between a block of spins  $A$  and the rest of the system  $B = V \setminus A$ . We want to bound the rate at which entanglement is created by any Hamiltonian of the form

$$\mathcal{H}(t) = \mathcal{H}_A(t) + \mathcal{H}_B(t) + \sum_{k=1}^P r_k(t) \mathcal{J}_A^k \otimes \mathcal{J}_B^k$$

where operators  $J_A^k, J_B^k$  act on their respective domains and we assume  $\|J_A^k\|, \|J_B^k\| \leq 1$ . Locality of  $\mathcal{H}(t)$  implies that the number of terms  $P$  in the sum is proportional to the perimeter of  $A$ . Any real-time evolution can be approximated to arbitrary precision by a Trotter decomposition where at each time only one term  $\mathcal{J}_A^k \otimes \mathcal{J}_B^k$  couples  $A, B$ . Now we can use the results derived in [14] and later generalized in [15]. It was derived there that

the rate at which the entanglement, as measured by the entropy  $S(\rho_A)$ , can be created using any product norm-1 Hamiltonian  $\mathcal{J}_A \otimes \mathcal{J}_B$  is bounded above by a constant

$$c^* = 2 \max_{0 \leq x \leq 1} \sqrt{x(1-x)} \log \frac{x}{1-x} \simeq 1.9.$$

This result is rather nontrivial because the maximum entangling rate does not depend upon dimensions of Hilbert spaces describing  $A$  and  $B$  which may be arbitrarily large [16]. Due to the Trotter approximation, we have

$$\frac{dS(\rho_A)}{dt} \leq c^* \sum_{k=1}^P |r_k(t)|.$$

Hence the rate at which entanglement between  $A$  and  $B$  is created scales at most like the perimeter of  $A$  (and not as the volume). If the interactions amplitudes are bounded by a constant,  $\max_k \max_t |r_k(t)| \leq g$ , we get

$$S(\rho_A(t)) - S(\rho_A(0)) \leq c^* \int_{\tau=0}^t d\tau \left( \sum_{k=1}^P |r_k(\tau)| \right) \leq c^* g P t.$$

This is the result we were looking for: the amount of entanglement that can be created in a finite time in a block of spins scales like the perimeter of the block and not as its volume. In particular, if one starts with a state that obeys the area law, i.e. for which the entropy of large blocks scales like their perimeter, and evolves it over some finite time, then it will still obey the area law.

This is relevant in the context of numerical renormalization group methods, as it is precisely the fact that ground states obey an area law that leads to the remarkable precision of those methods [17]. Ground states of spin systems belonging to the same phase can be converted into each other by local quasi-adiabatic evolution [18] over a finite time, and it hence indicates that if there exists an efficient parametrization of one state within a phase using matrix product states or generalizations, then all of them can be represented efficiently.

In conclusion, we investigated apparent paradoxes arising in the context of causality and nonrelativistic theories of quantum spin systems. Lieb-Robinson bounds show that even in a nonrelativistic setting, a quantitative notion of a lightcone arises where the light-cone has exponential tails which give rise to the apparent paradoxes. The shape of the light cone is solely determined by the norm of the local terms in the Hamiltonian, and is hence related to some speed of sound. We have shown that the information that is leaking through the exponential tails is useless, which shows that non-relativistic quantum mechanics is no-signalling in a quantitative way. Similarly, we have shown that there is a finite speed at which correlations and entanglement can be build up in a spin network. A nontrivial application of those results arises in the context of topological quantum order: we

could prove that the time it takes to create a state with topological quantum order out of one that has not this property scales linearly in the size of the system. Finally, we have proven that the entropy of a block of spins created by any local evolution coupling it to another domain scales at most like the surface of the block.

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